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PTO/SB/01 (12-97)

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Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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Attorney Docket Number BTI-18 DECLARATION FOR UTILITY OR **First Named Inventor** Helfer, Jeffrey L. **DESIGN** COMPLETE IF KNOWN PATENT APPLICATION (37 CFR 1.63) **Application Number** 10/077,922 Filing Date February 19, 2002 Declaration □ Declaration Group Art Unit OR Submitted Submitted after Initial 2872 Filing (surcharge with Initial (37 ČFR 1.16 (e)) Filing **Examiner Name** required)

As a below named inves	ntor, I her	eby declare that:				,		
My residence, post office address, and citizenship are as stated below next to my name.								
I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:								
"An Electromagnetic Interference Immune Tissue Invasive System" MAILED								
the specification of which		(Titl	e of the Invention)			AUG 2-7 200	
OR X was filed on (MM/I	DD/YYYY)	February 19	9, 2002	as Unite	d States Applica	tion Number or	ROUP 37	00
Application Number 10/	077,92	22 and w	as amended on (MM/DD/Y	(YY) October	11, 2002	(if applicable).	
I hereby state that I have namended by any amendment				ove identi	fied specification	n, including the	claims, as	
I acknowledge the duty to	•	•		tability as	defined in 37 CF	R 1.56.		
I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or 356(b) of any foreign application(s) for patent or inventor's certificate, or 356(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or of any PCT international application having a filing date before that of the application on which priority is claimed.								
Prior Foreign Application Number(s)	·	Country	Foreign Fili		Priority Not Claimed	Certified YES	Copy Attached?	
Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto:								
I hereby claim the benefit Application Number					application(s) lis	ted below.		ł
60/269,817	(3)	02/20/2001	e (MM/DD/YYY	1/	numbe supple	ers are listed	y data sheet	
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[Page 1 of 2]

Burden Hour Statement: This form is estimated to take 0.4 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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DECL	RATIO	N —	- Utility	or or	Desig	n Pat	ent	Арр	licati	on
I hereby claim the ber United States of Ame United States or PCT Information which is n and the national or PC	inca, listed below International appl naterial to patenta	and, inso ication in ibility as d	ofar as the sub the manner pro defined in 37 C	ject matt ovided by FR 1.56 v	er of each of t	he claims o	f this app	lication is r	ot disclose	d in the prior
U.S. Pa	rent Applicat Numb		PCT Parent			Filing Da D/YYYY)			t Patent f applica	Number ble)
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	r PCT internation									hed hereto.
As a named inventor, I and Trademark Office	hereby appoint the connected therew	ith: 🔽 C	ng registered p Customer Numl O <i>R</i>	ractioner(per 37	s) to prosecute 211	this applica	tion and t	o transarta		nthe Palent
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City		_	T	T	State		ZIP	 		· · · ·
Country Telephone I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.										
Name of Sole or	First Inventor	:			☐ A petiti	on has bee	en filed f	or this uns	igned inve	entor
Given Na	me (first and mid	dle [if an	y])			Fai	mily Nar	ne or Surn	ame	
Jeffrey L.		4	-		Helfer					
Inventor's Signature	6 lbso	, 2	lbalo	/				Ţ	Date /	26 km
Residence: City	Webster		State	٧Y	Country	US	-		itizenship	vs
PostOffice Address	1153 Hide	len Va	lley Trail							
Post Office Address										
City	Webster	State N	JY	ZIP	14580		Co	untry L	JS	
X Additional invent					al Additional					

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PTO/SB/02A (11-00)

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DECLARATION

ADDITIONAL INVENTOR(S) Supplemental Sheet Page 1 of 2

			<u> </u>				
Name of Additional Joint Inventor, if an	ıy:	A petition has been filed for this unsigned inventor					
Given Name (first and middle [if any])			Family Name or Surname				
Patrick R.		Conr	nellv		-		
Inventor's Signature / A Constitution of the c	ully		1	(j.	27 Jun 03		
Residence: City Rochester	State NY	(Country US		Citizenship US		
Mailing Address 450 Linden Avenue							
Mailing Address Avenue							
City Rochester	State NY		ZIP_14620	Country	US		
Name of Additional Joint Inventor, if any:			A petition has been filed for this unsigned inventor				
Given Name (first and middle [if any])		Family Name or Surname				
Stuart G. MacDonald							
Inventor's Signature	120	U			26 Jor 03		
Residence: City Pultneyville	State NY		Country US		Citizenship US		
Mailing Address 4663 East Lake Road				<u>.</u>			
Mailing Address							
City Pultneyville	State NY		ZIP 14538	Cour	ntry US		
Name of Additional Joint Inventor, if a	ny:		A petition has been fi	led for this	unsigned inventor		
Given Name (first and middle [if any])		Family Name or Surname					
Victor	Mill	Miller					
Inventor's Signature 🗸			- 1-1		Date		
Residence: City Clarence	State NY		Country US		Citizenship US		
Mailing Address 5210 Salt Road							
Mailing Address				<u> </u>			
City Clarence	State NY		ZIP 14031	Co	untry US		

Burden Hour Statement: This form is estimated to take 21 minutes to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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DECLARATION

ADDITIONAL INVENTOR(S)
Supplemental Sheet
Page 1 of 2

Name of Additional Joint Inventor, if an	y:		A petition has beer	n filed for th	nis unsigned inventor		
Given Name (first and middle [if any])			Family Name or Surname				
Patrick R.	nelly						
Inventor's Signature		·			Date		
Residence: City Rochester	State NY		Country US	i	Citizenship US		
Mailing Address 450 Linden Avenue							
Mailing Address Avenue							
City Rochester	State NY		ZIP 14620	Countr	y US		
Name of Additional Joint Inventor, if an		A petition has been	filed for thi	s unsigned inventor			
Given Name (first and middle [if any])			Family Name or Surname				
Stuart G.		ľ	MacDonald				
Inventor's Signature					Date		
Residence: City Pultneyville	State NY		Country US		Citizenship US		
Mailing Address 4663 East Lake Road							
Mailing Address							
City Pultneyville	State NY		ZIP 14538	Cou	intry US		
Name of Additional Joint Inventor, if an	ıy:		A petition has been fi	led for this	unsigned inventor		
Given Name (first and middle [if any])			Family Name or Surname				
Victor	Miller						
Inventor's Signature Williams					VDate 27 TUNEOUS		
Residence: City Clarence	State NY		Country US		Citizenship US		
Mailing Address 5210 Salt Road							
Mailing Address							
city Clarence	State NY		ZIP 14031	C	ountry US		

Burden Hour Statement: This form is estimated to take 21 minutes to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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DECLARATION

ADDITIONAL INVENTOR(S)
Supplemental Sheet
Page 2 of 2

					_		
Name of Additional Joint Inventor, if ar	ny:	A petition has been filed for this unsigned inventor					
Given Name (first and middle [if any]	Family Name or Surname						
Frank G.	۸.	Shell	lock				
Inventor's Signature / San Sy SU	Me	Shellock VDate			L 16 03		
Residence: City Los Angeles	State CA	c	Country US	- 1	Citizenship US		
Mailing Address 7511 McConnell Avenue							
Mailing Address							
City Los Angeles	State CA		ZIP 90045 C	ountry	US		
Name of Additional Joint Inventor, if any:			A petition has been filed t	for this	unsigned inventor		
Given Name (first and middle [if any])	Family Name or Surname					
	-						
Inventor's Signature					Date		
Residence: City	State		Country		Citizenship		
Mailing Address							
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City	State		ZIP	Cour	ntry		
Name of Additional Joint Inventor, if a	ny:	□ A	A petition has been filed for this unsigned inventor				
Given Name (first and middle [if any])			Family Name or Surname				
Inventor's Signature					Date		
Residence: City	State		Country		Citizenship		
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City	State		ZIP	Co	untry		

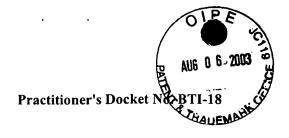
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PTO/SB/96 (08-00)

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Under the Paperwork Redustion Although 95. A

STATEMENT I	UNDER 37 CFR 3.73(b)
Applicant/Patent Owner: Jeffrey L. Helfer, et al.	·
Application No./Patent No.: 10/077,922	Filed/Issue Date: February 19, 2002
Entitled: "An Electromagnetic Interference Immu	une Tissue Invasive System"
Biophan Technologies, Inc. , a, a	
(Name of Assignee) (Typ	e of Assignee, e.g., corporation, partnership, university, government agency, etc.)
states that it is:	erest; or e and interest. o interest is% virtue of either:
1. LXJ the assignee of the entire right, title, and inter-	erest; or
an assignee of less than the entire right, title. The extent (by, percentage) of its ownership	erest; or e and interest. o interest is%
in the patent application/patent identified above by	/ virtue of either:
A. [X] An assignment from the inventor(s) of the passing was recorded in the United States Patent a which a copy thereof is attached.	natent application/patent identified above. The assignment and Trademark Office at Reel012987, Frame 0592, or and
OR	•
assignee as shown below:	patent application/patent identified above, to the current
1. From:	To:nited States Patent and Trademark Office at
Reel, Frame	, or for which a copy thereof is attached
2. From:	To:
Reel, Frame	nited States Patent and Trademark Office aug 2.7 2003 , or for which a copy thereof is attached.
3. From:	To: GROUP じんじ
The document was recorded in the Ur	nited States Patent and Trademark Office at, or for which a copy thereof is attached.
[] Additional documents in the chain of t	title are listed on a supplemental sheet.
[] Copies of assignments or other documents in t [NOTE: A separate copy (i.e., the original assignment be submitted to Assignment Division in acrecorded in the records of the USPTO. See MF	gnment document or a true copy of the original document) ccordance with 37 CFR Part 3, if the assignment is to be
The undersigned (whose title is supplied below) is	authorized to act on behalf of the assignee.
6/25/03	Michael L. Weiner
Date	Typed or printed name
	Signature
·	ČEO, Biophan Technologies, Inc.



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Patent: 10/077,922

Application No.: 10/077,922

Date of Issue: February 19, 2002

Name of Patentee: Jeffrey L. Helfer

Title of Invention: "An Electromagnetic Interference Immune Tissue Invasive System"

Assistant Commissioner for Patents Washington, D.C. 20231

ATTENTION: Supervisory Patent Examiner, Unit

STATEMENT AGREEING TO CHANGE OF INVENTORSHIP IN PATENT BY CORRECTLY NAMED INVENTORS (37 C.F.R. Section 1.48 (a(1))

I, an inventor signing below and who is correctly named in the above identified patent, make the following statement in support of the petition to correct the inventorship of this patent:

MAILED

I agree to the change of inventorship of this patent.

AUG 2 7 2003

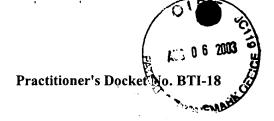
Jeffrey L.Helfer

GROUP Crass

Patrick R. Connelly

Stuart G. MacDonald

Victor Miller



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Patent: 10/077,922

Application No.: 10/077,922

Date of Issue: February 19, 2002

Name of Patentee: Jeffrey L. Helfer

Title of Invention: "An Electromagnetic Interference Immune Tissue Invasive System" MAILED

Assistant Commissioner for Patents

Washington, D.C. 20231

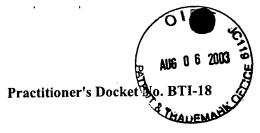
ATTENTION: Supervisory Patent Examiner, Unit

STATEMENT AGREEING TO CHANGE OF INVENTORSHIP IN PATENT BY **CORRECTLY NAMED INVENTORS** (37 C.F.R. Section 1.48 (a(1))

I, an inventor signing below and who is correctly named in the above identified patent, make the following statement in support of the petition to correct the inventorship of this patent:

I agree to the change of inventorship of this patent.

Jeffrey L.Helfer	AU6 1 1 C 2800 M
Patrick R. Connelly	MAIL ROOM
Stuart G. MacDonald	
Victor Miller	





IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

USSN: 10/077,922

Date Filed: February 19, 2002

Name of Applicant: Jeffrey L. Helfer et al.

Title of Invention: "An Electromagnetic Interference Immune Tissue Invasive System"

Assistant Commissioner for Patents Washington, D.C. 20231

CONSENT OF ASSIGNEE TO CHANGE OF INVENTORSHIP IN APPLICATION (37 C.F.R. Section 1.48 (a)(5))

Biophan Technologies, Inc., owner by assignment of the above patent, in the assignment being recorded concurrently herewith, hereby consents to the amendment of the inventorship of this patent as requested in the accompanying papers.

Attached is a "STATEMENT UNDER 37 CFR 3.73(b)," establishing the right of the assignee to take action in this case.

Date:

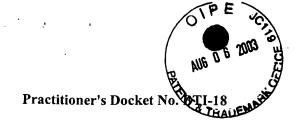
Biophan Technologies, Inc.

Michael L. Weiner

CEO, Biophan Technologies, Inc.

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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No.: 10/077,922

Date Filed: February 19, 2002

Name of Applicant: Jeffrey L. Helfer et al.

Title of Invention: "An Electromagnetic Interference Immune Tissue Invasive System"

Assistant Commissioner for Patents Washington, D.C. 20231

ATTENTION: Supervisory Patent Examiner, Unit 2872

STATEMENT OF NON-DECEPTIVE INTENT BY PERSON BEING ADDED BY PETITION TO CHANGE INVENTORSHIP IN APPLICATION (37 C.F.R. Section 1.48(a)(2))

I, the person who is being added as an inventor by the petition being submitted to correct the inventorship of this application, do hereby declare that the inventorship error in failing to include my name as an inventor on this patent occurred without any deceptive intention on my part.

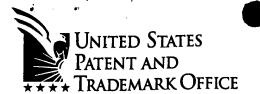
Date:

V 6/16/03

Frank G. Shellock

Fransy Sell

MAILED AUG 2 7 2003 GROUP 3700



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AUGUST 15, 2002

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Chief Information Officer Washington, DC 20231 www.uspto.gov

GREENWALD & BASCH LLP DUANE C. BASCH 349 WEST COMMERCIAL STREET SUITE 2490 EAST ROCHESTER, NY 14445



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UNITED STATES PATENT AND TRADEMARK OFFICE NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 06/14/2002

REEL/FRAME: 012987/0592

NUMBER OF PAGES: 4

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

HELFER, JEFFREY L.

DOC DATE: 05/30/2002

ASSIGNOR:

CONNELLY, PATRICK R.

DOC DATE: 05/30/2002

ASSIGNOR:

MACDONALD, STURAT G.

DOC DATE: 05/30/2002

ASSIGNOR:

MILLER, VICTOR

DOC DATE: 05/30/2002

ASSIGNEE:

BIOPHAN TECHNOLOGIES, INC. 150 LUCIUS GORDON DRIVE SUITE 215 WEST HENRIETTA, NEW YORK 14586

SERIAL NUMBER: 10077922

PATENT NUMBER:

FILING DATE: 02/19/2002

ISSUE DATE:



012987/0592 PAGE 2

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Form PTO-1595

R

U.S. DEPARTMENT OF COMMERCE

(Rev. 03/01)	•			
OMB No. 0651-0027 (exp. 5/31/2002) 102126	5577,			
Tab settings	Please record the attached original documents or copy thereof.			
Name of conveying party(ies):	Name and address of receiving party(ies)			
	Name: Biophan Technologies, Inc.			
Patrick R. Connelly 6.14.02				
Stuart G. MacDonald	Internal Address: Suite 215			
Additional name(s) of conveying party(ies) attached? X Yes A No				
3. Nature of conveyance:				
🖾 Assignment 🖳 Merger	1607			
Security Agreement Change of Name	Street Address: 150 Lucius Gordon Drive			
Q Other				
	City: West Henrietta State: NY Zip: 1458			
Execution Date: May 30, 2002	Additional name(s) & address(es) attached? 📮 Yes 🏋 No			
Application number(s) or patent number(s):				
If this document is being filed together with a new appl	ication, the execution date of the application is:			
A. Patent Application No.(s) 10/077,922 (Docket No: BTI-18)	B. Patent No.(s)			
Additional numbers at	tached? 🖵 Yes 🖾 No			
5. Name and address of party to whom correspondence concerning document should be mailed:	6. Total number of applications and patents involved:			
Name: Duane C. Basch	7. Total fee (37 CFR 3.41)\$\(\frac{40.00}{20.00}\)			
Internal Address: Greenwald & Basch LLP	☑ Enclosed			
	Authorized to be charged to deposit account?			
	8. Deposit account number:			
Street Address: 349 West Commercial Street, Suite	o. Deposit account number.			
2490	50-1706			
City: East Rochester State: NY Zip: 14445	(Attach duplicate copy of this page if paying by deposit account) 8			
DO NOT USE	THIS SPACE			
9. Statement and signature.	9			
To the best of my knowledge and belief, the foregoing is a true copy of the original document.	information is true and correct and any attached cop			
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Duane C. Basch #34,545

Name of Person Signing

Signature

Date

Total number of pages including cover sheet, attachments, and documents

Form PTO-159: (Rev. 03/01)		RECORDATION FORM COVER SHEET PATENTS ONLY			
1. Name or	conveying party(ies):				
	Victor Miller				
		·····			
<u> </u>	Additional name(s) of conveying party(ies) attached?	☐ Yes 🏖 No			
2. Name an	d address of receiving party(ies)				
Name:					
Internal A	Address:				
	ldress:				
City:	State:	ZIP:			
			·		
Name an	d address of receiving party(ies)				
	Address:				
	ddress:				
City:	State:	ZIP:			
	Additional name(s) & address(es) attached?	Yes X No			
4 Application	on number(s) or patent number(s):				
	cument is being filed together with a new appl	ication, the execution da	ate of the application is:		

	A. Patent Application No.(s)	D. Fale	nt No.(s)		
	•				
			•		
	Additional numbers attached?	📮 Yes 🔼 No			

Applicant or Patentee: Jeffrey 1 elfer et al.

Serial or Patent No: 10/077,922 Filed or Issued: February 19, 2002

Title: "An Electromagnetic Interference Immune Tissue Invasive System"

ASSIGNMENT

WHEREAS, Jeffrey L. Helfer of Webster, NY 14580; Patrick R. Connelly of Rochester, NY 14620; Stuart G. MacDonald of Pultneyville, NY 14538; and Victor Miller of Clarence, NY 14031 have invented certain new and useful improvements in "An Electromagnetic Interference Immune Tissue Invasive System," for which an application for Letters Patent of the United States was filed on February 19, 2002, and was afforded U.S.S.N. 10/077,922; and

WHEREAS, Biophan Technologies, Inc., of West Henrietta, NY 14586 is desirous of obtaining the entire right, title and interest in, to and under the said improvements and the said application;

NOW THEREFORE, in consideration of the sum of One Dollar (\$1.00) in hand paid, and other good and valuable consideration, the receipt of which is hereby acknowledged, the said

Jeffrey L. Helfer, Patrick R. Connelly, Stuart G. MacDonald, and Victor Miller

have sold, assigned, transferred and set over, and by these presents do hereby sell, assign, transfer and set over, unto the said

Biophan Technologies, Inc.,

its successors, legal representatives and assigns, the entire right, title and interest in, to and under the said improvements, and the said application and all divisions, renewals and continuations thereof, and all Letters Patent of the United States which may be granted thereon and all reissues and extensions thereof, and all applications for Letters Patent which may hereafter be filed for said improvements in any country or countries foreign to the United States, and all Letters Patent which may be granted for said improvements in any country or countries foreign to the United States and all extensions, renewals and reissues thereof.

IN TESTIMONY WHEREOF, I hereunto set my hand and seal this 128 day of 1909, 2002.

STATE OF NEW YORK COUNTY OF MONROE: ss.:

On this 28 day of 774 y , 2002, before me, a Notary Public in and for the State and County aforesaid, personally appeared Jeffrey L. Helfer, to me known to be the person of that name, who signed and sealed the foregoing instrument and he acknowledges the same to be his free act and deed.

EMY J. WOOD

Notary Public in the State of New York

Monroe County

Commission Expires 9/30

Page 1 of 2

• •	
IN TESTIMONY WHEREOF, 1 reunto set my h	hand and seal this By yay of MAY, 2002.
<u>//</u> /	rick R. Connelly
STATE OF NEW YORK COUNTY OF MONROE: ss.:	rick R. Compeny
aforesaid, personally appeared Patrick R. Connelly, to and sealed the foregoing instrument and he acknowle Nota	ary Public ROBERT J. WOOD Notary Public in the State of New York Monroe County
C	commission Expires 9/30/05
	,
IN TESTIMONY WHEREOF, I hereunto set my hand	Soma Dild
Stua	art G. MacDonald
STATE OF NEW YORK COUNTY OF MONROE: ss.:	
On this heave day of mar, 2002, before me, a Notary Public in and for the State and County aforesaid, personally appeared Stuart G. MacDonald, to me known to be the person of that name, who signed and sealed the foregoing instrument and he acknowledges the same to be his free act and deed.	
	Ary Public HOBERT J. WOOD Notary Public in the State of New York
'	Monroe County
	Commission Expires 9/30/05
	,
IN TESTIMONY WHEREOF, I hereunto set my hand	<u>,</u>
\mathcal{W}	istor mills
STATE OF NEW YORK COUNTY OF MONROE: ss.:	or Miller
17th. 2 2214	
on this <u>112</u> day of <u>124</u> , 2002, before r aforesaid, personally appeared Victor Miller, to me k sealed the foregoing instrument and he acknowledges	me, a Notary Public in and for the State and County
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